**Base Line Guatemala**

| **Result level** | **Measurable Indicators** | **Baseline conditions – How were things when FFF started for each indicator marked in bold?** |
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| **Impact:**  *Smallholder, women, community and Indigenous Peoples groups have improved income and food security from sustainable forest and farm management* | *Impact indicator 1*: Perception of changes in **business marketing capacity and income** (females and males) | 1. Limitadas capacidades comerciales y de mercadeo y de generación de ingresos de las pymes de las OPs de la Alianza |
| *Impact indicator 2*: Perceptions of changes in **diversity and abundance of forest resources** (male/female) | 1. Limitados cambios en la diversidad y abundancia de RRNN de importancia económica para las pymes de las OPs de la Alianza 2. Pymes produciendo un solo producto |
| *Impact indicator 3*: Perceptions of changes in the level of **diversity of income generation activities** | 1. Limitadas capacidades, las pymes no tienen diversidad de actividades que les permita generar ingresos; muchas de ellas dependen únicamente de una actividad/producto. |
| **Pillar 1: Strengthening producer groups for business and policy engagement** | | |
| **Outcome 1:**  *Producers are organised for policy engagement* | *Were there any examples of specific new or changed* ***policies, regulations and rules*** *enacted (including access to resources, land titles, community forestry certificates) that resulted from policy engagements by forest farm producer representatives (OI1.1)* | Ocho políticas, regulación y reglas:   * política forestal, * Diversidad biológica, * cambio climático, * ley del PINPEP, * política de desarrollo rural, * política energética, * pacto hambre cero, * programa de agricultura familiar) |
| *Has this policy work resulted in any relevant* ***principles for sustainable forest and farm management*** *being mainstreamed into national policies and planning? (OI1.2)* | En algunos casos la política ha dado como resultado que los principios pertinentes para la ordenación sostenible de bosques y fincas se integren en las políticas y la planificación nacionales. Existe un estándar interino para evaluar manejo forestal sostenible. |
| Output 1.1  *Dispersed local producers are organised into effective and gender inclusive groups* | How many forest **producer groups** were formed, using what names, and where? How many male and female members do they have? Describe the main steps in the process (I1.1.1) | Existen tres plataformas conformadas de grupos de productores:   * Alianza Nacional OFCs, * Red del Pinpep, * Red Autoridades Indígenas   Además, existen varias Federaciones dentro del sistema de cooperativas (agrícolas, forestales, otras) |
| Did the **group(s) representation** adequately reflect everyone? (Women? Youth? Marginalised groups?) (I1.1.2) | La percepción de las personas, especialmente mujeres y jóvenes, es que no están debidamente representados. |
| How many **women leaders** were there out of all leaders? (I1.1.3 and 1.1.4) | A nivel de la Alianza, 10 % |
| How many **meetings to decide on their priorities** did the group hold and what were they? Describe the groups priorities and main activities to date (I1.1.5) | La Alianza Nacional de Organizaciones Forestales Comunitarias, con toda su Asamblea, se reúne al menos una vez el año para abordar las problemáticas coyunturales y su Junta Directiva, se reúne al menos 3 veces al año. |
| Output 1.2  *Producer groups work together with Government and Private sector to improve policy* | How many **policy meetings attended by representatives of forest and farm producer groups** were there? (I1.2.1) | Las organizaciones de productores que conforman la Alianza se reúnen aproximadamente 3 veces al año. |
| How many were the particular **policies / policy processes targeted by representatives of forest farm producer organisations**? What were these? What specific changes to those policies / processes did those representatives wish to see? (I1.2.2) | 8 políticas:   * Ley Pinpep, * Iniciativa Probosque, * Guatemala Forestal Responsable * Salvaguardas para REDD * R-PP * Plan de Fomento a la legalidad forestal * Restauración del Paisaje * Politica CC |
| What was the **number of either men and women from forest and farm producer groups that held a decision making position** in the targeted policy making process? (I1.2.3) | La Alianza en su Junta Directiva está representada por 20 personas (1 representante y 1 suplente por cada una de las organizaciones de productores). |
| Outcome 2:  *Producers are organised for business* | *How many forest farm producer organisations have either* ***diversified or added value to their products*** *in some way through the activities of FFF (verified through perception of FFPOs)? (OI2.1)* | La alianza posee por 43 pymes. |
| *How many forest farm producer organisations are now* ***accessing new finance*** *through the work of the FFF (broken down into male and female members)? (OI2.2)* | Únicamente 4 pymes han accedido a financiamiento |
| Output 2.1  *Producer organizations know about business and can access finance* | Following FFF intervention, what is the perception of forest farm producers of their improved **ability to access markets** (I2.1.1) | La capacidad de las pymes para encontrar compradores y acceso a mercados es limitada. Las condiciones de competitividad de las actividades empresariales de la Alianza, no permiten un desarrollo más efectivo y por lo tanto de generación de ingresos y rentabilidad. |
| How many **sustainable business plans** were developed by forest farm producer organisations as a result of FFF activities? (I2.1.2) | No se ha desarrollado ningún plan de negocios sostenible como resultado de las actividades del FFF. |
| Output 2.2  *Establishment of services in support of small forest businesses* | How many new resources and / or actors have been linked or engaged for **service provision** by forest farm producer organisations through the work of FFF? (I2.2.1) | No se han vinculado organizaciones de productores forestales con actores para la provisión de servicios. |
| What is the **main type of service provision being offered** to forest farm producer organisations? (how is this serving both men and women?) (I2.2.2) | A nivel nacional existen 9 organizaciones que proveen servicios a distintas organizaciones de productores. Entre ellas, gremiales de cultivos de exportación, cooperativas agrícolas, silvicultores e incidencia política en materia de Foresteria Comunitaria. Las principales son:   * Asistencia técnica * ANACAFE, café, * AZASGUA, azúcar, * GREMHULA, hule, * GREPALMA, palma, * CARDEGUA, Asociación de Cardamomeros de Guatemala * ANACACAO, cacao, * Confederaciones de cooperativas, cooperativas agrícolas, * Gremial forestal, silvicultores e industriales de la madera * Alianza Nacional de Organizaciones Forestales Comunitarias, ALIANZA |
| Output 2.3  *Experience sharing between producer organizations in-country* | Describe highlights from any **in-country exchange visits** that forest farm producer organisations took part in? (I2.3.1) | En el seno de la Alianza Nacional de OFCs, una visita de intercambio (Guatemala-Perú) |
| What **new practices, plans and systems adopted afterwards** were there from the place where they visited? (I2.3.2) | Ninguna, no se estableció un plan de seguimiento |
| **Pillar 2: Catalyzing multi-sectorial policy platforms** | | |
| Outcome 3:  *Cross-sectorial policy coordination for sustainable forest and farm management* | *Indicator 3.1: # of* ***new or changed policies, regulations, rules*** *enacted improving the national enabling environment and enhancing POs ability for SFM and livelihoods* | Existen 8 políticas a nivel nacional:  -política forestal,  - Diversidad biológica,  - cambio climático,  - ley del PINPEP,  - política de desarrollo rural,  - política energética,  - pacto hambre cero,  - programa de agricultura familiar |
| Output 3.1 *Establishment and coordination of multi-sectorial policy platforms* | How many **multi-sectoral policy fora** have been established and or strengthened at country and regional levels? Please describe their names and main objectives. (I3.1.1) | Existen 8 foros de políticas:  -GBByCC,  -Desarrolllo Forestal Sostenible  -Desarrollo de Estándares Forestales para Manejo Sostenible  -Mesa Nacional de Fomento a la Legalidad,  -Mesa Intersectorial de Tierra y Ambiente para el Sur de PETÉN,  -Mesa Agroforestal (Petén),  -Salvaguardas para REDD  -Grupo de Bosques y Cambio Climático |
| What has been the **nature and level of direct representation** by forest farm producer group (female and male members) in key platforms (I3.1.2) | Los insumos de las organizaciones de productores no se toman en cuenta en la mayoría de procesos de incidencia política, por lo mimo sus opiniones y necesidades no se ven reflejados en la política pública. |
| How many **decisions taken that directly reflect Forest Farm producer organisation presence and inputs** have there been? Please describe what these were (I3.1.3) | Existen algunas leyes e iniciativas que han incluido algunas insumos de las organizaciones de productores:  - Ley de PINPEP,  - Iniciativa Probosque,  - Estrategia de restauración del paisaje,  - Guatemala Forestal Responsable |
| Output 3.2  *Increased information sharing results in improved understanding and better policies for producer organisations* | To what extent do producers perceive an increase in their **understanding of how government operates** – through participation in policy platforms? (I3.2.1) | El nivel de comprensión de cómo opera el gobierno es bajo en las organizaciones de productores. |
| How many **new networks/contacts resulting in new opportunities for forest farm producer organisations** have been gained through participation in these platforms? (I3.2.2) | Existen las siguientes redes/alianzas:  - Alianza Nacional OFCs  - Red del Pinpep,  - Red de Autoridades Indígenas |
| **Pillar 3: Linking local voices to global processes** | | |
| Outcome 4: *National and global agendas are informed about the priorities of local producers* | *Indicator 4.1: # of* ***regional and global decision making processes*** *engaged and aware of PO priorities* | Existen 3 procesos que permiten tomar decisiones regionales y globales en los que se involucran las OPs.  - Incentivos Forestales (Pinfor, Pinpep, Probosque)  - FLEGT/Tala illegal  - REDD+ |
| *Indicator 4.2:* ***representation of POs at regional and global initiatives*** | 10 líderes (de la Alianza) |
| Output 4.1 *Organizations representing local producers influence global processes* | How many **strategies and / or mechanisms for representative planning and advocacy** been developed either regionally / globally? (I4.1.1) | Existen mecanismos a nivel global: 6 binacionales |
| To what extent did representatives of forest farm producer organisations provide **report backs from regional and global levels to constituencies** at national and local levels? (I4.1.2) | Existe alta limitación en el intercambio de información clara con material y eventos para informar desde los niveles regional y global a las circunscripciones a niveles nacional y local. |
| What examples are there where **global policies and mechanisms vital to forest farm producer organisations were shaped** through FFF supported engagements? (I4.1.3) | Se pueden mencionar 4 ejemplos en donde las políticas y mecanismos globales que son vitales para las OPs, así como otras que están articuladas activamente a nivel nacional:  - Ley CC,  - Iniciativa Probosque,  - FLEGT,  - Reducción de la deforestación |
| Output 4.2  *Learning and practices are shared within and between countries and regions, and globally* | How many targeted FFF related **communication materials** have been carried out to spread findings beyond one country? (I4.2.1) | Existen la plataforma web del proyecto:  Página web del FFF (global) |
| How many **information sharing events** between forest farm producer organisations from different countries?. (I4.2.2) | La Alianza se reúne bimensual o trimestral para compartir información de eventos |
| Describe **highlights from each of those information sharing events**? (I4.2.3) | ---- |